

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Hazelton et al.	)	
		)	
Serial No:	09/754,856	)	Art Unit
		)	2834
Filed:	January 4, 2001	)	
		)	
For:	CIRCULATING SYSTEM FOR A CONDUCTOR	)	
		)	
Examiner:	Judson Jones	)	
		)	
Attorney Docket:	PA0321-US / 11269.24	)	

**TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING DATE OF EITHER FINAL ACTION OR NOTICE  
OF ALLOWANCE 37 CFR §1.97(C)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement (form 1449A/PTO modified) along with the reference.

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The Supplemental Information Disclosure Statement transmitted herewith is being filed **after** three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing

date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either:

- a. a Final Action under §1.113 or
- b. a Notice of Allowance under §1.311, whichever occurs first.

#### **CERTIFICATE OR FEE**

Accompanying this transmittal is

  X   a certification as specified in 37 CFR 1.97(e)

       the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

Dated this the 12<sup>th</sup> day of March, 2004.

Respectfully submitted,

  
STEVEN G. ROEDER  
Attorney for Applicant  
Registration No. 37,227

THE LAW OFFICE OF STEVEN G. ROEDER  
5560 Chelsea Avenue  
La Jolla, California 92037  
Telephone: (858) 456-1951

#### **CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this the 12<sup>th</sup> day of March, 2004.

  
STEVEN G. ROEDER, Attorney for Applicant--Registration No. 37,227

# THE LAW OFFICE OF STEVEN G. ROEDER

Patents, Trademarks and Copyrights

STEVEN G. ROEDER  
JAMES P. BRODER

sroeder1@san.rr.com  
jpbroder@san.rr.com

5560 Chelsea Avenue  
La Jolla, CALIFORNIA 92037

TELEPHONE  
(858) 456-1951  
FACSIMILE  
(858) 456-0744

## Certification Under 37 C.F.R. §1.97(e)

The undersigned hereby certifies that the present **Supplemental** Information Disclosure Statement is being filed:

- X After the mailing date of the first Office Action but before a final rejection or notice of allowance [37 C.F.R. §1.97(c)].
- After a Final Rejection or Notice of Allowance but before payment of the issue fee [37 C.F.R. §1.97 (d)].


The undersigned also certifies the following:

- That each item of information contained in the **Supplemental** Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart for an application not more than three (3) months prior to the filing of the Statement.

OR

- X That no item of information contained in the **Supplemental** Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(d) more than three (3) months prior to the filing of the Statement.

Dated this the 12<sup>th</sup> day of March, 2004.

  
\_\_\_\_\_  
STEVEN G. ROEDER  
Attorney for Applicant  
Registration No. 37,227

THE LAW OFFICE OF STEVEN G. ROEDER  
5560 Chelsea Avenue  
La Jolla, California 92037  
Telephone: (858) 456-1951

Substitute for form 1449A/PTO

**SUPPLEMENTAL**

## INFORMATION DISCLOSURE

### STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

**Complete if Known**

Application Number

09/754,856

Filing Date

1/4/2001

First Named Inventor

Hazelton et al.

*Art Unit*

2834

Examiner Name

Judson Jones

Attorney Docket Number

PA0321-US /  
11269.24

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

document under WFO Standards 3.1. Not possible. Applicant is to place a check mark here if English language translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**